|  |  |
| --- | --- |
|  | **University of Cape Town****Humanities Faculty****Department of Historical Studies** |

**Research Ethics: Student/Supervisor Joint Statement**

This form should be completed by the research student and then co-signed by student and supervisor: Tick the YES or NO box, and write in details where appropriate. Please read the [UCT Code for Research Involving Human Subjects](http://www.uct.ac.za/sites/default/files/image_tool/images/328/about/policies/Human_Research_Ethics_Code_2012.pdf) before completing the form. Ask your supervisor for clarification and help if needed.

**Student Researcher:** **Full Name:** Click here to enter text.

 **Student Number:** Click here to enter text.

**Title of Research Project:** Click here to enter text.

 **Course Detail:** Click here to enter text.

**Supervisor:** **Name:** Click here to enter text.

**Date:** Click here to select a date.

|  |  |  |
| --- | --- | --- |
| 1. **Have you read the** [**UCT Code for Research Involving Human Subjects?**](http://www.uct.ac.za/sites/default/files/image_tool/images/328/about/policies/Human_Research_Ethics_Code_2012.pdf)
 | **YES** [ ]  | **NO**[ ]  |
| 1. **Is your research making use of human subjects as sources of data?**
 | **YES** [ ]  | **NO**[ ]  |

**If your answer to Question 2 was YES, please complete the rest of the form.**

**If your answer to Question 1 was NO, please go to Question 10.**

**Research Focus**

|  |
| --- |
| 1. **In the space below, state what your research question/focus is, and give a brief outline of your plans for data collection.**

Click here to enter text. |

**Information**

|  |  |  |
| --- | --- | --- |
| 1. **Will participants (research subjects) in the research have reasonable and sufficient knowledge about you, your background and location, and your research intentions?**

**Describe briefly below how such information will be given to them. If there is any reason for withholding any information from participants about your identity and your research purpose, explain this in detail below.** | **YES** [ ]  | **NO**[ ]  |
| Click here to enter text. |

**Consent**

|  |  |  |
| --- | --- | --- |
| 1. **Will you secure the informed consent of all participants in the research?**

**Describe how you will do this in the space below. If your answer is NO, give reasons below.** **Will this consent be obtained in writing? If not, give reasons.** | **YES** [ ]  | **NO**[ ]  |
| Click here to enter text. |

|  |  |  |
| --- | --- | --- |
| 1. **In the case of research involving children, will you have the consent of their guardians, parents or caretakers?**

**If your answer is NO, give reasons below.** **If your answer is YES, describe briefly how this consent will be obtained from the participants.** | **YES** [ ]  | **NO**[ ]  |
| Click here to enter text. |

|  |  |  |
| --- | --- | --- |
| 1. **In the case of research involving children, will you have the consent of the children as much as that is possible?**

**If your answer is YES, describe briefly how this consent will be obtained from the children.****If your answer is NO, give reasons below.** | **YES** [ ]  | **NO**[ ]  |
| Click here to enter text. |

**Confidentiality**

|  |  |  |
| --- | --- | --- |
| 1. **Are you able to offer privacy and confidentiality to participants if they wish to remain anonymous?**

**If you answer YES then give details below as to what steps you will take to ensure participants’ confidentiality.****If there are any aspects of your research where there might be difficulties or problems with regard to protecting the confidentiality and rights of participants and honouring their trust, explain this in detail below.** | **YES** [ ]  | **NO**[ ]  |
| Click here to enter text. |

**Potential for harm to participants**

|  |  |  |
| --- | --- | --- |
| 1. **Are there any foreseeable risks of physical, psychological or social harm to participants that might result from or occur in the course of research?**

**If your answer is YES, outline below what these risks might be and what preventative steps you plan to take to prevent such harm from being suffered.** | **YES** [ ]  | **NO**[ ]  |
| Click here to enter text. |

**Potential for harm to UCT or other institutions**

|  |  |  |
| --- | --- | --- |
| 1. **Are there any foreseeable risks of harm to other institutions that might result from or occur in the course of the research? (eg. Legal action resulting from the research, the image of the university being affected by association with the research project, or a school being compromised in the eyes of the Education Ministry.**

**If your answer is YES, give details and state below why you think the research is nonetheless worthwhile.** | **YES** [ ]  | **NO**[ ]  |
| Click here to enter text. |

|  |  |  |
| --- | --- | --- |
| 1. **Are there any other ethical issues that you think might arise during the course of the research? (eg. With regard to conflicts of interests amongst participants and/or institutions)**

**If your answer is YES, give details and say what you plan to do about it.** | **YES** [ ]  | **NO**[ ]  |
| Click here to enter text. |

**Signed: Student:**

**Co-signed: Supervisor:**

**Date:** Click to select a date.