

RESEARCH ETHICS: STUDENT/SUPERVISOR JOINT STATEMENT

This form should be completed by the research student and then co-signed by student and supervisor: Tick the YES or NO box, and write in details where appropriate. Please read the UCT Code for Research involving Human Subjects before completing the form. Ask your supervisor for clarification and help if needed.

Student researcher:

Name:

Title of research project:

Course detail:

Supervisor:

Name:

1. 1. Have you read the Humanities Guide for Research Ethics? (available from supervisor or at the Humanities website http://www.humanities.uct.ac.za/hum/research/ethics)	YES	NO
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1. 2. Does your research make use of human subjects as sources of data?	YES	NO
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Research focus

1. 3. In the space below state what your research question/focus is, and give a brief outline of your plans for data collection.
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Information

1. 4. Will participants (research subjects) in the research have reasonable and sufficient knowledge about you, your background and location, and your research intentions? Describe how such information will be given to them. If there is any reason for withholding any information from participants about your identity and your research purpose, explain this in detail below.	YES	NO

Consent

<p>1. 5. Will you secure the informed consent of all participants in the research? Will the consent be given in writing? Describe how you will do this in the space below. If your answer is NO to both or either of these questions, give reasons below. <i>Attach copies of your draft consent forms that you will use to get written consent</i></p>	YES	NO

<p>1. 6. In the case of research involving children, will you have the consent of their guardians, parents or caretakers? If your answer is NO, give reasons below. If your answer is YES, describe how this consent will be obtained. Will the consent be given in writing? <i>Attach copies of your draft consent forms that you will use to get written consent.</i></p>	YES	NO

<p>1. 7. In the case of research involving children, will you have the consent of the children as much as that is possible? If your answer is YES, describe briefly how this consent will be got from the children. If your answer is NO, give reasons below.</p>	YES	NO

Confidentiality

1. 8. Are you able to offer privacy and confidentiality to participants if they wish to remain anonymous? If you answer YES then give details below as to what steps you will take to ensure participants' confidentiality. If there are any aspects of your research where there might be difficulties or problems with regard to protecting the confidentiality and rights of participants and honouring their trust, explain this in detail below,	YES	NO

Potential for harm to participants

1. 9. Are there any foreseeable risks of physical, psychological or social harm to participants that might result from or occur in the course of the research? If your answer is YES, outline below what these risks might be and what preventative steps you plan to take to prevent such harm from being suffered.	YES	NO

Potential for harm to UCT or other institutions

1. 10. Are there any foreseeable risks of harm to UCT or to other institutions that might result from or occur in the course of the research? e.g. legal action resulting from the research, the image of the university being affected by association with the research project, or a school being compromised in the eyes of the Education Ministry. If your answer is YES, give details and state below why you think the research is nonetheless worthwhile.	YES	NO

1. 11. Are there any other ethical issues that you think might arise during the course of the research? (e.g. with regard to conflicts of interests amongst participants and/or institutions) If your answer is YES, give details and say what you plan to do about it.	YES	NO

Signed:

Student:

Date:

Co-signed:

Supervisor:

Date:

Introduction

I am, a Student/Researcher in the African Studies Unit of the University of Cape Town, South Africa. I am conducting research on issues related to, and I would like to ask some questions on these issues.

Purpose of Study

[Explain to the INTERVIEWEE the PURPOSE OF THE STUDY and HOW THE INFORMATION COLLECTED WILL BE USED]

Duration and Content of Interview

- This interview will take approximatelyI will ask a number of questions about the topic of my study.

Confidentiality

- All the information gathered during this interview is confidential and will be solely used for the intended purposes of this study. I will not reveal to anyone your name or any form of your identity without your permission.

Voluntary Participation

- I will conduct this interview with the understanding that you have freely accepted to take part in this study, and that you are not under any obligation to answer the questions that I will be asking. You are free to discontinue the interview at any time.

Benefits

- There are no direct personal benefits that you will get by participating in this study. However, the study will enhance our knowledge on the subject and the findings may be used by the community to engage with policies and programmes that are relevant to the community.

Information about Study

- Feel free at any time to ask questions to clarify anything related to this interview or study.

Consent

I freely consent to take part in this study. I understand that I am participating freely and without being forced in any way to do so. I also understand that I can stop participating at any point should I not wish to continue. I also confirm that the purpose of the study has been fully explained to me. I understand that this is a research project whose purpose is not necessarily to benefit me personally in the immediate or short term. I also understand that my participation will remain confidential.

Signature of Interviewee.....

Date:.....