**UNIVERSITY OF CAPE TOWN**

# FACULTY OF HUMANITIES

# SCHOOL OF LANGUAGES AND LITERATURES

**RESEARCH ETHICS: STUDENT/SUPERVISOR JOINT STATEMENT**

* This form should be completed by the research student and then co-signed by student and supervisor.
* Tick the YES or NO box and write in details where appropriate.
* Please read the [UCT Code for Research involving Human Subjects](https://humanities.uct.ac.za/research/research-ethics-human-subjects) before completing the form.
* Ask your supervisor for clarification and help if needed.

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| **Student Name**: |  |
| **Title of research project**: |  |
| **Course detail**: |  |
| **Supervisor Name**: |  |

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| 1. Have you read the UCT Code for Research involving Human Subjects?  *(available from supervisor or at the UCT web-site - go to Research/ go to Standards and Procedures)* | **Yes** |  | **No** |  |
| 2. Is your research making use of human subjects as sources of data? | **Yes** |  | **No** |  |
| **Research focus** | | | | |
| 3. In the space below state what your research question/focus is and give a brief outline of your plans for data collection. | | | | |
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| **Information** | | | | |
| 4. Will participants (research subjects) in the research have reasonable and sufficient knowledge about you, your background and location, and your research intentions? | **Yes** |  | **No** |  |
| Describe briefly below how such information will be given to them. If there is any reason for withholding any information from participants about your identity and your research purpose, explain this in detail below. | | | | |
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| Consent | | | | |
| 5. Will you secure the informed consent of all participants in the research? | **Yes** |  | **No** |  |
| Describe how you will do this in the space below. If your answer is NO, give reasons below. | | | | |
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| 6. In the case of research involving children, will you have the consent of their guardians, parents or caretakers? | **Yes** |  | **No** |  |
| If your answer is NO, give reasons below. If your answer is YES, describe briefly how this consent will be got from the participants. | | | | |
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| 7. In the case of research involving children, will you have the consent of the children as much as that is possible? | **Yes** |  | **No** |  |
| If your answer is YES, describe briefly how this consent will be got from the children. If your answer is NO, give reasons below. | | | | |
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| Confidentiality | | | | |
| 8. Are you able to offer privacy and confidentiality to participants if they wish to remain anonymous? | **Yes** |  | **No** |  |
| If you answer YES then give details below as to what steps you will take to ensure participants’ confidentiality. If there are any aspects of your research where there might be difficulties or problems with regard to protecting the confidentiality and rights of participants and honouring their trust, explain this in detail below. | | | | |
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| Potential for harm to participants | | | | |
| 9. Are there any foreseeable risks of physical, psychological or social harm to participants that might result from or occur in the course of the research? | **Yes** |  | **No** |  |
| If your answer is YES, outline below what these risks might be and what preventative steps you plan to take to prevent such harm from being suffered. | | | | |
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| Potential for harm to UCT or other institutions | | | | |
| 10. Are there any foreseeable risks of harm to UCT or to other institutions that might result from or occur in the course of the research? e.g., legal action resulting from the research, the image of the university being affected by association with the research project, or a school being compromised in the eyes of the Education Ministry. | **Yes** |  | **No** |  |
| If your answer is YES, give details and state below why you think the research is nonetheless worthwhile. | | | | |
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| 11. Are there any other ethical issues that you think might arise during the course of the research?  *(e.g., with regard to conflicts of interests amongst participants and/or institutions)* | **Yes** |  | **No** |  |
| If your answer is YES, give details and say what you plan to do about it. | | | | |
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| **Signed:** | **Student:** |  | **Date:** |  |
| **Co–signed:** | **Supervisor:** |  | **Date:** |  |